

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

**INDEPENDENT AUDITORS' REPORTS AS REQUIRED
BY THE UNIFORM GUIDANCE AND *GOVERNMENT*
AUDITING STANDARDS AND RELATED
INFORMATION**

JUNE 30, 2020

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

**Independent Auditors' Reports as Required by the Uniform Guidance and
Government Auditing Standards and Related Information**

June 30, 2020

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INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM; REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

To the Board of Education of
State of Rhode Island
Providence, Rhode Island

Report on Compliance for Each Major Federal Program

We have audited Rhode Island College's (a component unit of the State of Rhode Island) (the "College") compliance with the types of compliance requirements described in the *U.S. Office of Management and Budget ("OMB") Compliance Supplement* that could have a direct and material effect on each of the College's major federal programs for the year ended June 30, 2020. The College's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of the College's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (the "Uniform Guidance"). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the College's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the College's compliance.

Opinion on Each Major Federal Program

In our opinion, the College complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2020.

Other Matters

The results of our auditing procedures disclosed instances of noncompliance, which are required to be reported in accordance with the Uniform Guidance and which are described in the accompanying schedule of findings and questioned costs as Finding 2020-001. Our opinion on each major federal program is not modified with respect to this matter.

The College's responses to the noncompliance finding identified in our audit are described in the accompanying schedule of findings and questioned costs. The College's response was not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

Report on Internal Control over Compliance

Management of the College is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the College's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the College's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, we did identify a deficiency in internal control over compliance, described in the accompanying schedule of findings and questioned costs as Finding 2020-001 that we consider to be a significant deficiency.

The College's response to the internal control over compliance finding identified in our audit is described in the accompanying schedule of findings and questioned costs. The College's response were not subjected to the auditing procedures applied in the audit of compliance and, accordingly, we express no opinion on the response.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of the College, as of and for the year ended June 30, 2020. We issued our report thereon dated September 30, 2020, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.



**Certified Public Accountants
Braintree, Massachusetts**

April 15, 2021

(except for the Schedule of Expenditures of Federal Awards, for which the date is September 30, 2020)



INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Education of
State of Rhode Island
Providence, Rhode Island

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Rhode Island College (the "College"), which comprise the statement of net position as of June 30, 2020, the related statements of revenues, expenses and changes in net position and cash flows for the year then ended, and the related notes to the financial statements, which collectively comprise Rhode Island College's basic financial statements and have issued our report thereon dated September 30, 2020. Our report includes a reference to other auditors who audited the financial statements of Rhode Island College Foundation, as described in our report on Rhode Island College's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered Rhode Island College's internal control over financial reporting ("internal control") as a basis for designing the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the College's internal control. Accordingly, we do not express an opinion on the effectiveness of the College's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Rhode Island College's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the College's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the College's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.



**Certified Public Accountants
Braintree, Massachusetts**

September 30, 2020

**SCHEDULE OF EXPENDITURES
OF FEDERAL AWARDS**

Rhode Island College
(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards

Year ended June 30, 2020

	CFDA Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Passed Through to Subrecipients
STUDENT FINANCIAL AID CLUSTER					
U.S. Department of Education:					
Direct Awards:					
Federal Supplemental Educational Opportunity Grants	84.007	N/A	N/A	\$ 401,103	-
Federal Work-Study Program	84.033	N/A	N/A	793,635	-
Federal Perkins Loan Program (beginning of year)	84.038	N/A	N/A	3,074,764	-
Federal Perkins Loan Program (current year expenditures)	84.038	N/A	N/A	-	-
Federal Pell Grant Program	84.063	N/A	N/A	13,482,108	-
Federal Direct Student Loans	84.268	N/A	N/A	<u>29,307,055</u>	<u>-</u>
Total Student Financial Assistance Cluster				<u>47,058,665</u>	<u>-</u>
TRIO CLUSTER					
U.S. Department of Education:					
Direct Awards:					
TRIO Upward Bound	84.047	N/A	N/A	697,217	-
TRIO McNair Post-Baccalaureate Achievement	84.217	N/A	N/A	<u>258,369</u>	<u>-</u>
Total TRIO Cluster				<u>955,586</u>	<u>-</u>
RESEARCH AND DEVELOPMENT CLUSTER					
National Science Foundation:					
Pass-through Awards:					
Office of International Science and Engineering	47.079	Brown University	N/A	18,345	-
Office of Integrative Activities	47.083	University of Rhode Island	N/A	<u>83,561</u>	<u>-</u>
Total Research and Development Cluster				<u>101,906</u>	<u>-</u>
NON-CLUSTER					
U.S. Department of Defense:					
Direct Awards:					
Rhode Island College GenCyber Grant	12.903	N/A	N/A	<u>59,464</u>	<u>-</u>
U.S. Department of Energy:					
Direct Awards:					
Investigating Ultrafast Dynamics in Solid State Photocatalytic & Photovoltaic Materials Using Time Resolved Mossbauer Spectroscopy	81.049	N/A	N/A	<u>178,892</u>	<u>117,119</u>

The accompanying notes are an integral part of this schedule.

Rhode Island College
(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year ended June 30, 2020

NON-CLUSTER - CONTINUED	CFDA Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Passed Through to Subrecipients
U.S. Department of Education:					
Direct Awards:					
Interdisciplinary Specialization in Transition	84.325	N/A	N/A	94,964	-
Special Education - Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities	84.326	N/A	N/A	65,687	-
Transition Programs for Students with Intellectual Disabilities into Higher Education	84.407	N/A	N/A	402,691	-
Higher Education Emergency Relief Fund (HEERF) - Student Aid Portion	84.425E	N/A	N/A	3,245,954	-
Higher Education Emergency Relief Fund (HEERF) - Institutional Portion	84.425F	N/A	N/A	<u>3,721,958</u>	<u>-</u>
Total Higher Education Emergency Relief Fund (HEERF) and Education Stabilization Funds (ESF)				<u>6,967,912</u>	<u>-</u>
Subtotal - Direct Awards				<u>7,531,254</u>	<u>-</u>
Pass-through Awards:					
Early Childhood Project through UCONN/UCEDD	84.325	University of Connecticut Health Center	N/A	<u>41,895</u>	<u>-</u>
U.S. Department of Health and Human Services:					
Direct Awards:					
Behavioral Regulation to Extinguish Smoking While Treating Another Health Risk	93.279	N/A	N/A	118,287	21,413
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632	N/A	N/A	492,868	-
Behavioral Health Workforce Education & Training (BHWET) Program	93.732	N/A	N/A	257,152	-
Opioid Workforce Expansion Program	93.732			<u>112,016</u>	<u>-</u>
Subtotal - Direct Awards				980,323	21,413
Pass-through Awards:					
Lifespan Respite Care Program	93.072	Rhode Island Department of Human Services	N/A	33,454	-
Maternal and Child Health Federal Consolidated Programs	93.110	Rhode Island Hospital	N/A	124,069	-
Substance Abuse and Mental Health Services - Projects of Regional and National Significance	93.243	Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospitals	N/A	46,222	-
Community Health Worker Association of Rhode Island	93.366,				
Community Health Worker Training/Advising Department of Health	93.425,				
	93.426	Rhode Island Department of Health	N/A	48,398	-
R.I. Office of Healthy Aging Memory Workforce	93.470	R.I. Department of Human Services/Office of Healthy Aging	N/A	73,701	-
Child Care and Development Block Grant	93.575	Rhode Island Department of Human Services	N/A	62,415	-
Primary Care Intervention	93.788	Rhode Island Department of Behavioral Healthcare, Developmental Disabilities and Hospitals	N/A	52,769	-
Money Follows the Person	93.791	Rhode Island Executive Office of Health and Human Services	N/A	60,850	-
Biomedical Research and Research Training	93.859	University of Rhode Island	N/A	615,573	-
HIV Care Formula Grants	93.917	Rhode Island Executive Office of Health and Human Services	N/A	18,525	-
PPHF Geriatric Education Centers	93.969	University of Rhode Island	N/A	<u>19,746</u>	<u>-</u>
Subtotal - Pass-through Awards				1,155,722	-

The accompanying notes are an integral part of this schedule.

Rhode Island College
(a Component Unit of the State of Rhode Island)

Schedule of Expenditures of Federal Awards - Continued

Year ended June 30, 2020

	CFDA Number	Pass-Through Entity	Pass-Through Entity Award Number	Federal Expenditures	Passed Through to Subrecipients
NON-CLUSTER - CONTINUED					
Social Security Administration:					
Direct Awards:					
Social Security - Work Incentives Planning and Assistance Program	96.008	N/A	N/A	141,732	-
Total Non-Cluster				<u>10,089,282</u>	<u>138,532</u>
Total Federal Funds				<u>\$ 58,205,439</u>	<u>\$ 138,532</u>

The accompanying notes are an integral part of this schedule.

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Notes to the Schedule of Expenditures of Federal Awards

Year Ended June 30, 2020

Note 1 - **Basis of Presentation**

The accompanying Schedule of Expenditures of Federal Awards (the “Schedule”) includes the federal award activity of Rhode Island College (the “College”) under programs of the Federal Government for the year ended June 30, 2020. The information on this Schedule is prepared in accordance with the requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (“Uniform Guidance”). Because the Schedule presents only a selected portion of the operations of the College, it is not intended to and does not present the financial position, changes in net position or cash flows of the College.

Note 2 - **Summary of Significant Accounting Policies**

Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in the Uniform Guidance.

Note 3 - **Determination of Major Programs**

The determination of major federal financial assistance programs was based on the overall level of expenditures for all federal programs for the State of Rhode Island (the “State”), of which Rhode Island College is a component unit. As such, the determination of major programs is made at the State level, where it was determined the Student Financial Assistance Cluster and Education Stabilization Fund were major programs during the State fiscal year ended June 30, 2020.

Note 4 - **Indirect Cost Rate**

Expenditures under the research and development cluster include facilities and administrative (F&A) cost recoveries, relating primarily to facilities operation and maintenance; facilities and equipment depreciation; and general, division, and departmental administration services, which are charged to the applicable federal grants under negotiated rates agreed to with the Department of Health and Human Services, the College’s federal cognizant agency. The College has elected not to use the 10-percent de minimis indirect cost rate as allowed under the Uniform Guidance.

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Notes to the Schedule of Expenditures of Federal Awards - Continued

Year Ended June 30, 2020

Note 5 - **Federal Student Loan Programs**

Perkins Loan Program

The Federal Perkins Loan Program (“Perkins”) is administered by the College and balances and transactions relating to this program are included in the College’s basic financial statements. During the year ended June 30, 2020, no loans were advanced under the Perkins program and no administrative costs were incurred. As of June 30, 2020, loan balances receivable under Perkins was \$2,587,856.

There was no federal capital contribution or match by the College during the current year.

The Federal Perkins Loan Program Extension Act of 2015 (the “Extension Act”), enacted on December 18, 2015, extended the Perkins Loan Program through September 30, 2017. The Extension Act states that new Perkins Loans cannot be disbursed to students after September 30, 2017. Students that received a fall semester Perkins Loan disbursement before October 1, 2017 could receive a spring semester Perkins Loan disbursement. The College is currently evaluating alternative methods of financial aid for students affected by the Extension Act for the 2020-21 academic year.

Federal Direct Student Loans

The College disbursed \$29,807,055 of loans under the Federal Direct Student Loans program, which include Stafford Subsidized and Unsubsidized Loans and Parent Plus Loans. The College is only responsible for the performance of certain administrative duties and, accordingly, there are no significant continuing compliance requirements and these loans are not included in the College’s financial statements.

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs

Year Ended June 30, 2020

Section I – Summary of Auditors’ Results:

Financial Statements

Type of auditors’ report issued:	Unmodified	
Internal control over financial reporting:		
• Material weaknesses identified?	_____ yes	<u> x </u> no
• Significant deficiencies identified that are not considered to be material weaknesses?	_____ yes	<u> x </u> no
Noncompliance material to the financial statements noted?	_____ yes	<u> x </u> no

Federal Awards

Type of auditors’ report issued on compliance for major programs:	Unmodified	
Internal control over major programs:		
• Material weaknesses identified?	_____ yes	<u> x </u> no
• Significant deficiencies identified that are not considered to be material weaknesses?	<u> x </u> yes	_____ no
Any audit findings disclosed that are required to be reported in accordance with the Uniform Guidance?	<u> x </u> yes	_____ no

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2020

Identification of Major Programs

Name of Federal Program or Cluster	CFDA Number
Student Financial Assistance Cluster:	
Federal Supplemental Educational Opportunity Grant Program	84.007
Federal Work-Study Program	84.033
Federal Perkins Loan Program	84.038
Federal Pell Grant Program	84.063
Federal Direct Student Loans (Note 5)	84.268
Higher Education Emergency Relief Fund (HEERF) and Education Stabilization Funds (ESF):	
Higher Education Emergency Relief Fund (HEERF) – Student Aid Portion	84.425E
Higher Education Emergency Relief Fund (HEERF) – Institutional Portion	84.425F

Dollar threshold used to distinguish between type A and type B programs:

\$18,224,374

Auditee qualified as a low-risk auditee?

_____ yes x no

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2020

Section II – Financial Statement Findings:

None.

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2020

Section III – Federal Award Findings and Questioned Costs:

Finding number: 2020-001
Federal agency: U.S. Department of Education
Programs: Student Financial Assistance Cluster
CFDA #'s: 84.063 and 84.268
Award year: 2020

Criteria

According to 34 CFR 685.309(b)(2):

Unless [the institution] it expects to submit its next updated enrollment report to the Secretary within the next 60 days, a school must notify the Secretary within 30 days after the date the school discovers that –

- (i) A loan under title IV of the Act was made to or on behalf of a student who was enrolled or accepted for enrollment at the school, and the student has ceased to be enrolled on at least a half-time basis or failed to enroll on at least a half-time basis for the period for which the loan was intended; or
- (ii) A student who is enrolled at the school and who received a loan under title IV of the Act has changed his or her permanent address.

The Dear Colleague Letter GEN-12-6 issued by the U.S. Department of Education (“ED”) on March 30, 2012 states that in addition to student loan borrowers, Enrollment Reporting files will include two additional groups of students: Pell Grant and Perkins Loan recipients.

According to 2 CFR Part 200, Appendix XI Compliance Supplement updated August 2020:

Under the Pell Grant and loan programs, institutions must complete and return within 15 days the Enrollment Reporting roster file placed in their Student Aid Internet Gateway mailboxes sent by ED via the National Student Loan Data System (“NSLDS”). The institution determines how often it receives the Enrollment Reporting roster file with the default set at a minimum of every 60 days. Once received, the institution must update for changes in student status, report the date the enrollment status was effective, enter the new anticipated completion date, and submit the changes electronically through the batch method or the NSLDS website. Institutions are responsible for timely reporting, whether they report directly or via a third-party servicer.

RHODE ISLAND COLLEGE
(a Component Unit of the State of Rhode Island)

Schedule of Findings and Questioned Costs - Continued

Year Ended June 30, 2020

Condition

The Federal government requires the College to report student enrollment changes to the National Student Loan Data System (“NSLDS”) within sixty days. In a sample of forty students who either graduated, withdrew from the institution, or became enrolled on less than half-time basis, we noted the following:

- Three students that graduated were never reported to NSLDS.
- One student that graduated was not reported to NSLDS within the required time frame. The time frame to report the status change was 147 days, which was 87 days late.

Cause

The College did not have adequate procedures in place to ensure that status changes were properly reported to NSLDS and the status changes were reported within the required time frame.

Effect

The College did not report the students' correct status changes to NSLDS within the required timeframe. This impacts the student loan grace period.

Questioned Costs

Not applicable.

Perspective

Our sample was not, and was not intended to be, statistically valid. Of the 40 students selected for testing, 4 students, or 10% of our sample was determined to have either no status change reported to NSLDS or a status change not reported timely to NSLDS.

Identification as a Repeat Finding, if applicable

Not applicable.

Recommendation

The College should provide training to employees responsible for processing information for the NSLDS and ensure that they have adequate knowledge in the related rules and regulations. This training should include an explanation of the College’s date of determination of withdrawal, the importance of reporting timely, and the consequences of late reporting. Additionally, this should include an explanation of the status changes, the importance of reporting the correct status changes and the consequences of incorrect reporting. Additionally, submission of additional rosters may reduce the likelihood of the finding in the future.

View of Responsible Officials

The College agrees with the finding.



RHODE ISLAND COLLEGE
OFFICE OF THE CONTROLLER

Management's Summary Schedule of Prior Audit Finding
Year Ended June 30, 2020

Section IV – Management's Summary Schedule of Prior Audit Findings:

None.



RHODE ISLAND COLLEGE
OFFICE OF THE CONTROLLER

Management's Corrective Action Plan

Year Ended June 30, 2020

Finding number: 2020-001
Federal agency: U.S. Department of Education
Programs: Student Financial Assistance Cluster
CFDA #'s: 84.063 and 84.268
Award year: 2020

Corrective Action Plan:

Based on the finding, the Record Office will work to implement a process to be sure graduates are reported to the National Student Clearinghouse within the required time frame. We will work with our Management Information Systems team to create the required queries to check for these students. In addition, we will also need to make sure the students in dual programs that include a certificate, are counted in our queries as there is no policy stating that students need to complete both the degree and certificate in the same semester.

Timeline for Implementation of Corrective Action Plan:

The queries were completed within 4 weeks' time where implementation happened immediately after the first-degree completion submission to the National Student Clearinghouse.

Contact Person

Tamecka Hardmon, Director of Records