

Mini Grant November 2019

Mini Grant applications are to be used for requests up to \$1500. Applications will be rated for quality and significance through the point system, totaling 40, as indicated in scored sections. Additional information requested by the application is also taken into consideration in its evaluation.

Lastname	First name	Title
<input type="text"/>	<input type="text"/>	<input type="text"/>

Phone	Email
<input type="text"/>	<input type="text"/>

On campus Address	Department
<input type="text"/>	<input type="text"/>

Select One	Select One
<input type="text"/>	<input type="text"/>

Start date at Rhode Island College

Are you applying for both a Mini Grant and a Major Grant?

Yes No

You will only be able to receive one grant per cycle.

NB: CFS&D is no longer accepting multiple mini grant proposals.

Proposed Project Start Date	Proposed Project End Date
<input type="text" value="8/1/2019"/>	<input type="text" value="6/29/2020"/>

*What is the date you plan to begin your research?
Must be after 7/1/19*

*What is the date you plan to complete your
research? Must be before 6/30/20*

Total Cost of Project	Total Amount Requested
<input type="text" value="\$2,343.81"/>	<input type="text" value="\$1,500.00"/>

Do not request an amount greater than \$1500

Title of Project

My proposal involves the use of human participants, animals, recombinant DNA, infectious or hazardous biological materials

Yes No

Collaborators

Please list any collaborators from Rhode Island College and their roles in the proposed work.

In the last three years, have you previously received a grant from the CFS or Faculty Research Committee or Faculty Development Fund?

Yes No

Reports for each successful grant must be filed by September 30th of the year for which it was received (e.g., 2018-2019 - by September 30, 2019). Final grant report forms may be found on the CFS website.

Please list grant titles and approximate date of the activity of Faculty Development or Faculty Research grants

n/a

These grants were replaced by grants from the CFS&D in spring 2018.

Applicants are reminded to avoid technical jargon in their project description, keeping in mind that they are writing for a multidisciplinary audience.

NB: This form is only capable of calculating length in characters, not words. For instructions how to count characters in Microsoft Word, go to <https://support.office.com/en-us/article/Show-the-word-count-and-more-3c9e6a11-a04d-43b4-977c-563a0e0d5da3> (Windows) or <https://support.office.com/en-us/article/Show-the-word-count-and-more-in-Word-for-Mac-441b6035-17fc-46df-9f6d-9174bd5c3bf1> (Mac)

Please answer questions as fully as possible within the character limit.

Objectives for Funding Request: Why are you requesting these funds?

My manuscript titled "Factor Exposures of Environmental, Social, and Governance (ESG) Indexes" has been accepted for presentation at the 59th Annual Southwestern Finance Association (SWFA) Conference in San Antonio, TX. I was also a Program Committee member for this conference and have been invited to serve as Session Chair. The funding will partially cover my expenses for attending the conference, presenting my manuscript, and serving as a session chair at the conference.

1000 characters or fewer. Score: 0-10

Expected Outcome for the Funding Request: What will result from accomplishing these objectives?

As a result of this funding, I will be able to attend the 2020 SWFA Conference, present my manuscript, and serve as a session chair at the conference. Presenting my manuscript will create opportunities for me to get feedback which I will use to revise my paper before submitting it to a peer reviewed journal. Attending sessions and serving as a session chair at the conference will help me improve my professional network and be aware of the leading research in areas of interest to me.

Please describe what will result from your grant, e.g, published paper; conference presentation, course enhancement, etc. 1000 characters or fewer. Score: 0-10

What impact will this have on your teaching effectiveness and/or professional competence?

Presenting my manuscript at the 2020 SWFA conference, serving as a Session Chair and attending sessions of relevance to my research will improve my professional competence as the feedback I will receive on my current manuscript will be helpful in revising it before submitting it to a peer reviewed journal, as well as the exposure to related topics will help my future research agenda. I also bring material on Environmental, Social, and Governance (ESG) issues into the FIN 432 - Investments course that I teach every semester, and exposure to current research will improve my presentation of this topic to this class. Investments textbooks are slow to include coverage of this topic that is exploding in the professional investment world (which I know due to my CFA Institute affiliation) and students will be well served with more exposure to current research in ESG issues.

Describe how the activity for which you are seeking funding will contribute to your advancement in your teaching practice or research/creative practice, in alignment with “The Criteria for Evaluation, Salary Increments, Promotion, and Tenure in the RIC/AFT Contract” (http://www.ric.edu/ricaft/pdf/ricaftagreement15_18.pdf). 1000 characters or fewer. Score 0-10

If applicable, please provide an explanation if you are requesting support for the continuation or another phase of a project previously funded by one or more Faculty Research or Faculty Development awards

n/a

A clear statement of justification for continued support must accompany an application requesting support for another phase of a project previously funded by one or more Faculty Research or Faculty Development Awards. Applications lacking such justification will be rejected.

If applicable, please provide an explanation of how students will be involved in the proposed work.

I do not foresee any student involvement in the research part though as indicated above, students taking FIN432 - Investments will benefit from this.

If students will be involved in the proposed work, explain the rationale, their role, and duties. 700 characters or less.

Budget

The form will automatically total the amount in the columns. To add another row, click "Add Item" below the table. To remove a row, click on the "x" to the left of the row that you want to remove. For an example budget, please see the application instructions on the CFS&D website. <http://www.ric.edu/Committee-for-Faculty-Scholarship/Pages/default.aspx>

Item	Total Project Cost (with or without CSF Funds)	Amount Requested from CFS
Conference submission fee	\$35.00	

Conference registration fee	\$200.00	\$200.00
Meals (5 days @ 50/day)	\$250.00	\$250.00
Transportation to/from airport in Providence & San Antonio (4 @ \$15 each)	\$60.00	
Providence-San Antonio Airfare - roundtrip (plus booking fee of \$24)	\$704.00	\$704.00
Lodging at Conference Hotel (4 nights @ \$229/night plus tax)	\$1,094.81	\$346.00
	\$2,343.81	\$1,500.00

Budget Justification

The budget is for attending the 2020 SWFA Conference in San Antonio, TX, as well as presenting my manuscript and serving as a Session Chair at the conference. Paper has been submitted and accepted. The grant will partially cover my costs for registering for the conference, traveling to and back from San Antonio, and staying in San Antonio.

Describe how the requested items will support the project objectives. Justify both the need and the amount of the request. Please provide information on other sources of funding for which you have applied or intend to apply to any portion of the project costs and detail the items of the budget that would be covered by external funds. Projects seeking support for travel must also complete the Travel Budget Justification. 1500 characters or fewer. Score: 0-10

Are you requesting travel support as part of your proposal?

Yes No

You must select "yes" if you are requesting travel funds.

Travel Budget Justification

Funding for travel should be requested only if there is a compelling reason to attend a conference, obtain materials, equipment, laboratory time, or to visit archives, collections, and research materials that are not accessible locally.

In order for travel costs to be reimbursed, cost estimates must be secured from the college's travel agent, Short's Travel. For more information, see the travel policy section of the RIC Accounting Office web page. Mileage can be calculated at no more than the Accounting Office and State's standardized mileage rate. Please visit the Accounting Office website for this rate:
<http://www.ric.edu/accounting/Pages/tprocedures.aspx>

NOTE: Overnight Accommodations with NOT be allowed within a 55-mile radius of Providence. The distance cannot be used in calculating the 55-mile radius. 55-mile limitations INCLUDE the City of Boston. Any request for an exception to this must be in writing and submitted to the College Controller prior to booking lodging in Boston.

Travel Destination(s)

San Antonio, TX

Date of departure

3/11/2020

Date of return

3/15/2020

Travel must begin in the dates covered by the research period.

Are you requesting reimbursement for airfare?

Yes No

Estimate from Short's Travel agency for travel costs (see instructions)

SWFA2020-TravelQuote.pdf

You must attach a Short's Travel estimate if you are requesting funding for air travel.

Estimated cost of accommodations

\$1,094.81

Estimated cost of fares and mileage

\$764.00

Estimated cost of meals

\$250.00

State requirements: \$50/day; \$25/half day

Are you applying for funds to attend a conference?

Yes No

What is the significance of this conference to you and to your field?

Southwestern Finance Association (SWFA) Conference is one of the main national annual conferences in Finance. I regularly serve as Program Committee member for this conference and try to attend the conference every other year. I last attended the 2018 conference in Albuquerque, NM.

What is your role at the conference?

I am serving as a Program Committee member. I will be presenting my manuscript titled: "Factor Exposures of Environmental, Social, and Governance (ESG) Indexes." I have also been invited and accepted to serve as a Session Chair on Socially Responsible Investing but the session is not yet put together.

E.g., organizer, presenter, attender. Please include your paper or session title if you are presenting or organized a session.

Does your proposal include non-conference related travel?

Yes No

Note to Committee

n/a

If you have received any counsel from the chair of the committee in relation to extenuating circumstances of your application, please note that here.

Upon submission of my proposal for a Faculty Scholarship Grant,

I acknowledge that my application may not be considered if it is received after the deadline.

I have filled out every applicable field of this application form. Where something is not applicable, I have inserted "n/a" or "none."

If applying from the arts, I have attached any supporting images as a PDF document, not to exceed 5 pages (optional).

If awarded a Faculty Scholarship Grant,

I agree, in consideration to grants administration personnel, to submit my requests for reimbursement in a timely manner (within one month of expenditure). Any outstanding requests for reimbursement for this grant cycle must be submitted before June 30, 2020..

I must submit a brief final report on the use of these funds by September 30th of the ending year for which the grant was received. The award letter will detail the report requirements. I acknowledge that until I submit the final report, I will be ineligible to receive future faculty scholarship awards.

I agree to abide by my awarded budget, the purchasing and travel policies of Rhode Island College in the performance of any award made to me, and that if I have questions I will contact either the Purchasing or Accounting Offices for clarification on those policies.

I agree to acknowledge the support of the Committee for Faculty Scholarship and Development in my completed work.

I agree that award title and basic project information may be shared with the campus community and the Office of College Communications and Marketing as part of the college's effort to publicize faculty research and projects.

Signature

Supporting materials for visual artists

Upload supporting visual material as a single document, no longer than 5 pages

Documentation of rejection of book or software purchase